

Gloucester City Council
Audit and Governance Work Programme 2016-2017
(Updated 03 June 2016)

Item	Format	Committees	Lead Officer	Comments
20 June 2016:				
1. Audit and Governance Committee Action Plan	Timetable	-----	-----	Standing agenda item
2. KPMG Interim Letter	Written letter	Audit and Gov	-----	Requested by the Head of Finance
3. Position Statement on Statement of Accounts	Verbal report	Audit and Gov	Head of Finance	Part of the Committee's annual work programme
4. Benefits Audit Update on Accuracy Rate	Verbal report	Audit and Gov	Head of Finance	Part of the Committee's annual work programme
5. Internal Audit Plan 2015/16 Final Monitoring Report	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme
6. Head of Audit Risk Assurance Annual Report 2015/16	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme
7. Audit and Governance Committee Annual Report 2015/16	Written Report	Audit and Gov	Head of Audit Risk Assurance	Part of the Committee's annual work programme
8. Treasury Management Six Monthly Update 2015/16	Written Report	Audit and Gov Cabinet	Head of Finance/ Management Accountant	Part of the Committee's annual work programme
9. Draft Annual Governance Statement 2015/16	Written Report	Audit and Gov	Head of Finance	Part of the Committee's annual work programme
10. Annual Complaints Monitoring Report	Written Report	Audit and Gov	Head of Finance	Part of the Committee's annual work programme
11. Annual Standards Report	Verbal Update	Audit and Gov	Monitoring Officer	Part of the Committee's annual work programme

12. Internal Audit Quality Assurance and Improvement Programme	Written Report	Audit and Gov	Head of IA&RM Shared Service	Requested by Head of IA&RM Shared Service
13. Audit and Governance Committee Work Programme	Timetable	-----	-----	Standing Agenda Item
19 September 2016:				
1. Audit and Governance Committee Action Plan	Timetable	-----	-----	Standing agenda item
2. ISA 260 Report to those Charged with Governance	Written report	Audit and Gov	KPMG	Standing agenda item requested by the Committee
3. Statement of Accounts 2015/16	Written Report	Audit and Gov	Head of Finance	Part of the Committee's annual work programme
4. Strategic Risk Register Update	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme
5. Annual Governance Statement 2015/16	Written Report	Audit and Gov	Head of Finance	Part of the Committee's annual work programme
6. Business Rates Pooling Annual Report	Written Report		Head of Finance	Part of the Committee's annual work programme
7. Internal Audit Plan 2016/17 – Monitoring Report	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme
8. Local Government Ombudsman Decisions	Written Report	Audit and Gov	Monitoring Officer	Part of the Committee's annual work programme
9. Audit and Governance Committee Work Programme	Timetable	-----	-----	Standing Agenda Item
21 November 2016				
1. Audit and Governance Committee Action Plan	Timetable	Audit and Gov	-----	Standing agenda item requested by the Committee
2. Benefit Audit Update on Accuracy Rate	Written Report			Part of the Committee's annual work programme
3. Annual Audit Letter 2015/16	Written Report	Audit and Gov	KPMG	Part of the Committee's annual work programme
4. Zurich Risk Management Standards Assessment report	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme

5. Treasury Management Performance 2016/17 Six monthly update	Written Report	Audit and Gov Cabinet	Head of Finance	Part of the Committee's annual work programme
6. Regulation of Investigatory Powers Act 2000 (RIPA) – Annual Review of Procedural Guide	Written Report	Audit and Gov Council	Corporate Director	Part of the Committee's annual work programme
7. Audit and Governance Committee Work Programme	Timetable	-----	-----	Standing Agenda Item
23 January 2017				
1. Audit and Governance Committee Action Plan	Timetable	-----	-----	Standing agenda item requested by the Committee
2. KPMG Grants Audit Report	Written Report	Audit and Gov	KPMG	Part of the Committee's annual work programme
3. Internal Audit Plan 2016/17 – Monitoring Report	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme
4. Draft Contract Standing Orders	Written Report	Audit and Gov	Monitoring Officer/ Head of Finance	Part of the Committee's annual work programme
5. Audit and Governance Committee Work Programme	Timetable	-----	-----	Standing Agenda Item
13 March 2017				
1. Audit and Governance Committee Action Plan	Timetable	-----	-----	Standing agenda item requested by the Committee
2. KPMG – External Audit Plan 2016/17	Written Report	Audit and Gov	KPMG	Part of the Committee's annual work programme
3. Benefit Audit Update on Accuracy Rate	Written Report			Part of the Committee's annual work programme
4. KPMG – External Audit Technical Update	Written Report	Audit and Gov	KPMG	Part of the Committee's annual work programme
5. Treasury Management Strategy	Written Report	Audit and Gov Cabinet Council	Head of Finance	Part of the Committee's annual work programme
6. Annual Risk Management Report	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme
7. Internal Audit Plan 2016/17 – Monitoring Report	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme

8. Internal Audit Plan 2017/18	Written Report	Audit and Gov	Head of IA&RM Shared Service	Part of the Committee's annual work programme
9. KPMG Grants Audit Report	Written report	Audit and Gov	KPMG	Part of the Committee's annual work programme
10. Audit and Governance Committee Work Programme	Timetable	-----	-----	Standing Agenda Item

FUTURE AUDIT & GOVERNANCE COMMITTEE AGENDA ITEM – DATE TO BE AGREED:

- Update report on Peer Review visit
- Risk Management Review and Anti Fraud and Corruption Strategy Review.